

# Lecture 1

## First Meeting & Introduction/Overview I

Lecture Information

Ceng328 *Operating Systems* at February 17, 2011

### First Meeting

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Text Book

Grading Criteria & Policies

### Introduction/Overview

What Is An Operating  
System?

User View - The OS as an  
Extended Machine

System View - The OS as  
a Resource Manager

Defining OS and  
Functionalities

Computer-System  
Organization

Computer-System  
Operation

Storage Structure

I/O Structure

Dr. Cem Özdoğan  
Computer Engineering Department  
Çankaya University



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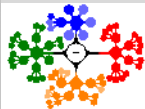
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- CENG 328 Operating Systems Spring 2011



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# First Meeting I

- CENG 328 Operating Systems Spring 2011
- THURSDAY 10:40-12:30 (T1) B301/302



## First Meeting

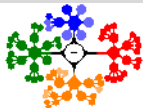
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- FRIDAY 08:40-10:30 (T2) B308/309



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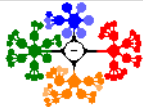
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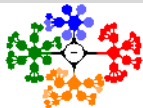
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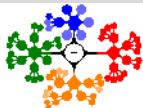
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- **Instructor: Cem Özdoğan, Department of Materials Science and Engineering, A318**



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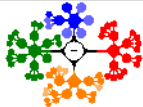
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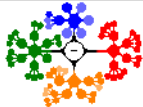
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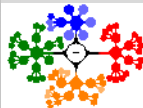
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- **Announcements: Watch this space for the latest updates.**

Pazar 13.Subat.2011 23:42 In the first lecture, there will be first meeting and Introduction/Overview. The laboratory notes for the first week is published, see Course Schedule section.



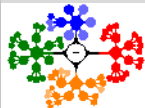
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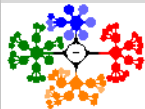
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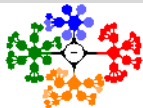
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Dr. Cem Özdoğan



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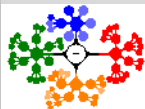
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  - Ubuntu live CD
  - **Pardus live CD**



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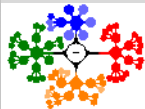
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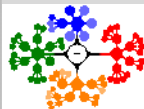
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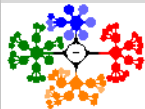
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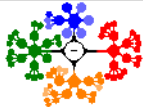
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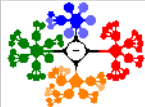
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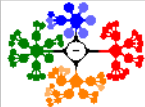
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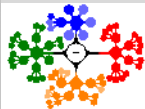
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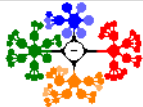
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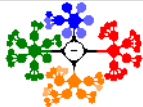
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- Among the topics covered will be;
  - **basic operating system structure,**



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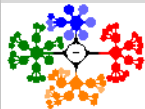
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  - basic operating system structure,
  - **process and thread synchronization,**



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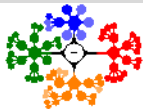
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  - **process scheduling and resource management,**



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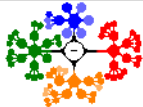
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  - process scheduling and resource management,
  - process management (creation, synchronization, and communication),
  - **memory management techniques, main-memory management, virtual memory management,**



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- Ceng 328 is intended as a general introduction to the techniques used to implement operating systems and related kinds of systems software.
- Among the topics covered will be;
  - basic operating system structure,
  - process and thread synchronization,
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  - process management (creation, synchronization, and communication),
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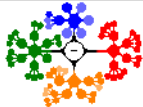
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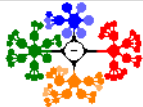
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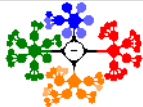
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  - control of disks and other input/output devices,
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- This course assumes familiarity with basic computer organization (e.g., processors, memory, and I/O devices).



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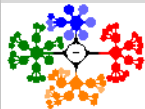
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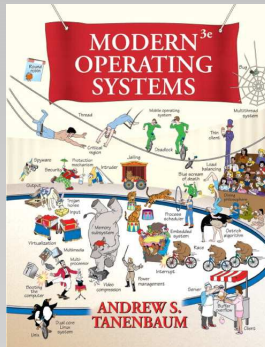
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- **Recommended:** Modern Operating Systems, 3rd Edition by Andrew S. Tanenbaum, Prentice Hall, 2008. Another frequently used text book that covers the same material with a different approach



Required

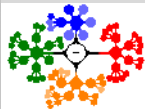


Recommended



# Grading Criteria & Policies

- There will be a midterm and a final exam, will count 20% and 40% of your grade, respectively.



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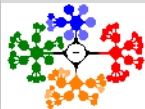
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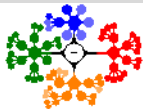
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- I encourage you to ask questions in class. You are supposed to ask questions. Don't guess, ask a question!
- The code/homework you submit must be written completely by you. You can use anything from the textbook/notes with a clear understanding.



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# What Is An Operating System? I

- An operating system (OS) acts as an intermediary between the user of a computer and the computer hardware.



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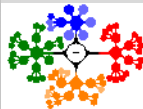
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# What Is An Operating System? I

- An operating system (OS) acts as an intermediary between the user of a computer and the computer hardware.
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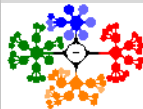
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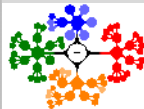
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  - *Mainframe OSs are designed primarily to optimize utilization of hardware.*



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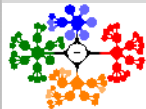
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  - *OSs for handheld computers* are designed to provide an environment in which a user can easily interface with the computer to execute programs.
- Thus, some OSs are designed to be convenient, others to be efficient, and others some combination of the two.



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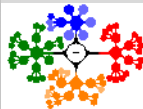
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# What Is An Operating System? II



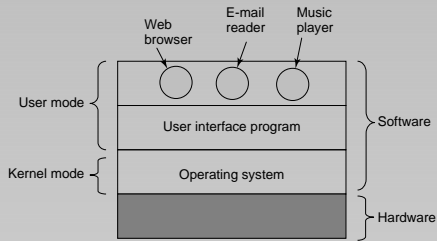
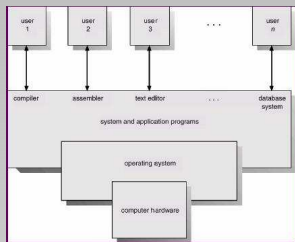
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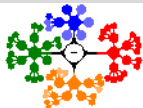
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**Figure:** Abstract view. Where the OS fits in.

# What Is An Operating System? III

- A computer system can be divided roughly into four components: *the hardware*, *the OS*, *the application programs*, and *the users* (see Fig. 1).



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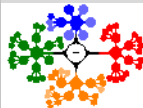
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## 1 Hardware



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  - 2 *Software*



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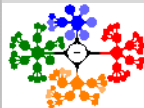
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    - **Programs. Software can be grouped into the following categories:**



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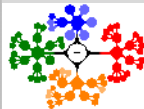
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- The hardware must provide appropriate mechanisms.

## 2 Software

- Programs. Software can be grouped into the following categories:
  - *systems software* (OS & utilities).
  - *applications software* (user programs).
- An OS is similar to a government. Like a government, it performs no useful function by itself. It simply provides an environment within which other programs can do useful work.



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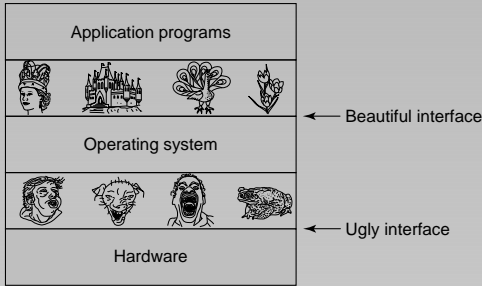
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# User View - The OS as an Extended Machine



**Figure:** Operating systems turn ugly hardware into beautiful abstractions.

- provides an abstraction layer over the concrete hardware,



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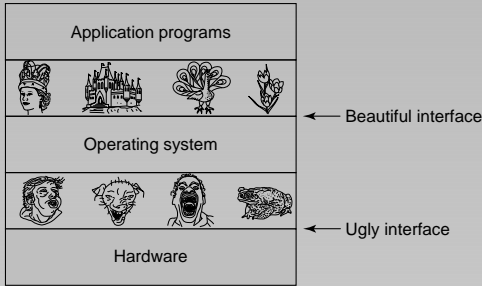
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# User View - The OS as an Extended Machine



**Figure:** Operating systems turn ugly hardware into beautiful abstractions.

- provides an abstraction layer over the concrete hardware,
- use the computer hardware in an efficient manner (converting hardware into useful form),



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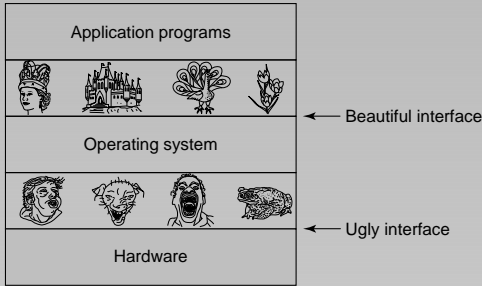
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# User View - The OS as an Extended Machine



**Figure:** Operating systems turn ugly hardware into beautiful abstractions.

- provides an abstraction layer over the concrete hardware,
- use the computer hardware in an efficient manner (converting hardware into useful form),
- “hide” the complexity of the underlying hardware. See Fig. 2



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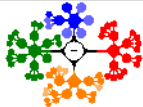
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# System View - The OS as a Resource Manager

- From the computer's point of view, the OS is the program most intimately involved with the hardware. In this context, we can view an OS as a resource allocator.



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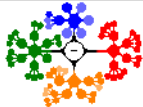
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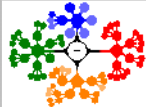
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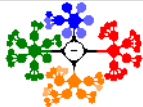
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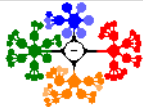
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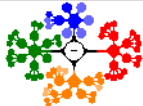
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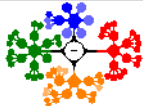
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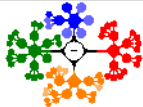
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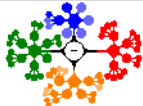
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  - **how to operate and control the various I/O devices.**



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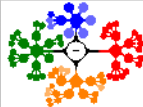
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# Defining OS and Functionalities

- The fundamental goal of computer systems is to execute user programs and to make solving user problems easier.



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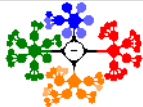
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- The fundamental goal of computer systems is to execute user programs and to make solving user problems easier.
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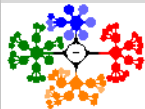
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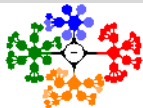
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- **What mechanisms? What policies?**



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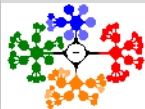
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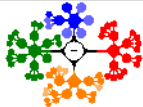
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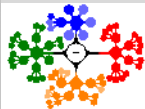
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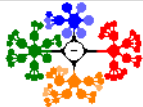
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  - **change low-level implementation to deal with hardware.**



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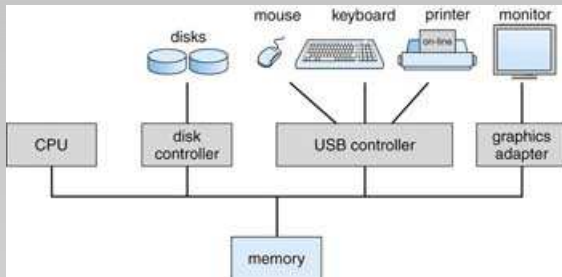
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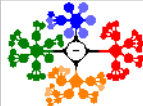
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# Computer-System Operation I

- A modern general-purpose computer system consists of one or more CPUs and a number of device controllers connected through a common bus that provides access to shared memory (see Fig. 3).



**Figure:** A modern computer system.



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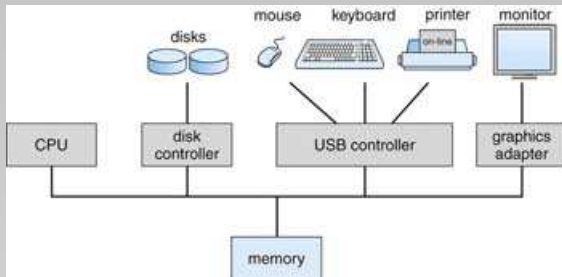
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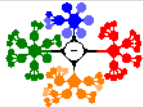
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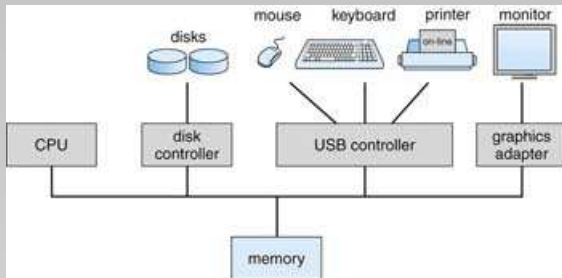


**Figure:** A modern computer system.

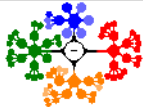


# Computer-System Operation I

- A modern general-purpose computer system consists of one or more CPUs and a number of device controllers connected through a common bus that provides access to shared memory (see Fig. 3).
- The CPU and the device controllers can execute concurrently, *competing for memory cycles*.
- **To ensure orderly access to the shared memory, a memory controller is provided whose function is to synchronize access to the memory.**



**Figure:** A modern computer system.



- For a computer to start running, when it is powered up or rebooted-it needs to have an initial program (bootstrap program) to run.



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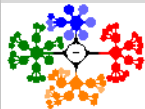
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- For a computer to start running, when it is powered up or rebooted-it needs to have an initial program (bootstrap program) to run.
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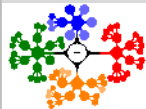
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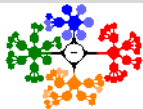
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- It initializes all aspects of the system, from CPU registers to device controllers to memory contents.
- The bootstrap program must know how to load the OS and to start executing that system. To accomplish this goal, the bootstrap program must locate and load into memory the OS kernel.

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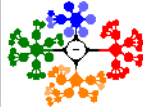
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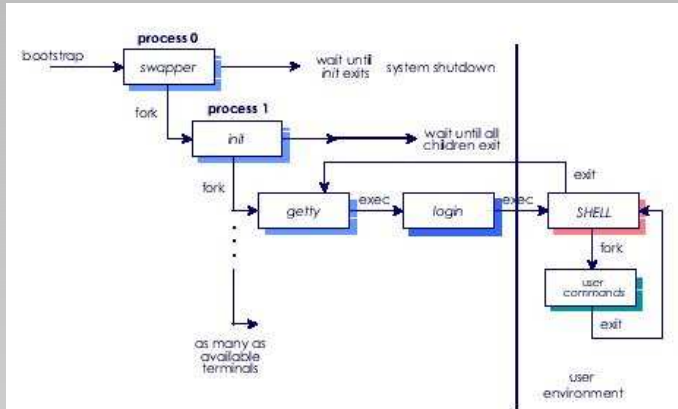
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## UNIX System initialization and Bootstrapping;



**Figure:** UNIX System initialization

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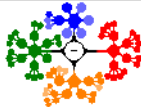
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# Computer-System Operation III

- Once the kernel boots, we have a running Linux system. It isn't very usable, since the kernel doesn't allow direct interactions with "user space".

Kernel = OS - transient components  
*remains*                                  *comes and goes*



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- Once the kernel boots, we have a running Linux system. It isn't very usable, since the kernel doesn't allow direct interactions with "user space".
- So, the system runs one program: **init** and waits for some event to occur. This program is responsible for everything else and is regarded as the father of all processes.

Kernel = OS - transient components  
*remains*                                *comes and goes*



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# Computer-System Operation III

- Once the kernel boots, we have a running Linux system. It isn't very usable, since the kernel doesn't allow direct interactions with "user space".
- So, the system runs one program: **init** and waits for some event to occur. This program is responsible for everything else and is regarded as the father of all processes.
- The kernel then retires to its rightful position as system manager handling "kernel space" (see Fig. 4).

Kernel = OS - transient components  
*remains*                                      *comes and goes*



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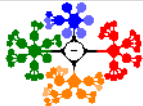






# Computer-System Operation IV

- The occurrence of an event is usually signaled by an interrupt from either the hardware or the software.



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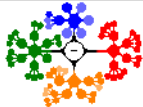
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## Computer-System Operation IV

- The occurrence of an event is usually signaled by an interrupt from either the hardware or the software.
  - **Hardware may trigger an interrupt at any time by sending a signal to the CPU, usually by way of the system bus.**



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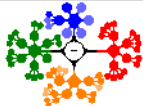
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## Computer-System Operation IV

- The occurrence of an event is usually signaled by an interrupt from either the hardware or the software.
  - Hardware may trigger an interrupt at any time by sending a signal to the CPU, usually by way of the system bus.
  - Software may trigger an interrupt by executing a special operation called a **system call** (also called a monitor call).



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## Computer-System Operation IV

- The occurrence of an event is usually signaled by an interrupt from either the hardware or the software.
  - Hardware may trigger an interrupt at any time by sending a signal to the CPU, usually by way of the system bus.
  - Software may trigger an interrupt by executing a special operation called a **system call** (also called a monitor call).
- The interrupt must transfer control to the appropriate interrupt service routine (ISR) (see Fig. 5).

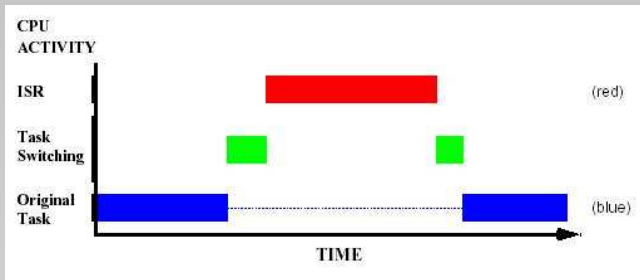
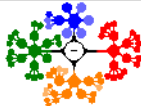


Figure: Interrupt



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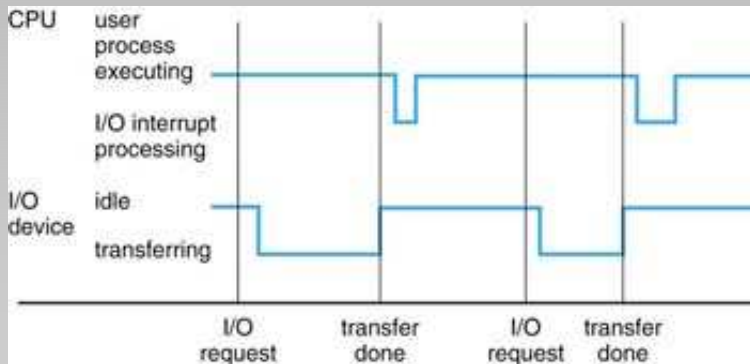
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The interrupt service routine executes; on completion, the CPU resumes the interrupted computation. A time line of this operation is shown in Fig. 6.



**Figure:** Interrupt time line for a single process doing output.



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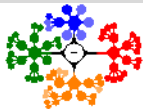
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# Storage Structure I

- Computer programs must be in main memory.



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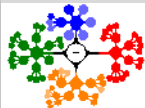
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# Storage Structure I

- Computer programs must be in main memory.
- Interaction is achieved through a sequence of load or store instructions to specific memory addresses.



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# Storage Structure I

- Computer programs must be in main memory.
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- The load instruction moves a word (collection of bytes, each word has its own address) from main memory to an internal register within the CPU, whereas the store instruction moves the content of a register to main memory.



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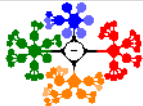
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- A typical *instruction-execution cycle*, as executed on a system with a *von Neumann architecture*,



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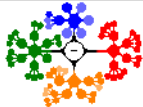
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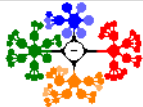
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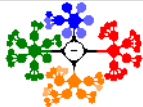
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  - **After the instruction on the operands has been executed, the result may be stored back in memory.**



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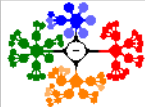
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  - The instruction is then decoded and may cause operands to be fetched from memory and stored in some internal register.
  - After the instruction on the operands has been executed, the result may be stored back in memory.
- Notice that the memory unit sees only a stream of memory addresses; it does not know how they are generated (by the instruction counter, indexing, indirection, literal addresses, or some other).



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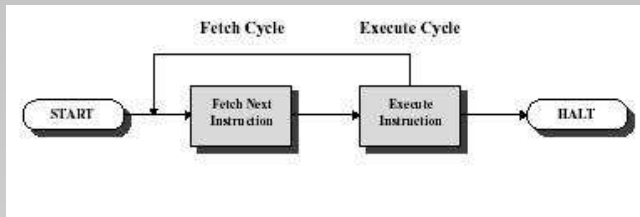
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## Storage Structure II

- Program counter (PC) holds address of the instruction to be fetched next,



**Figure:** Fetch and Execute Cycle



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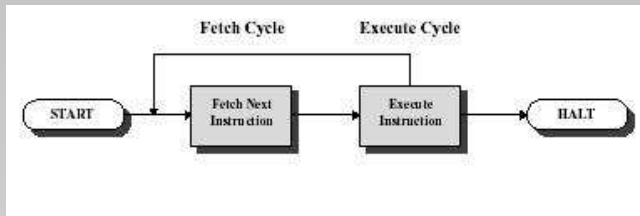
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## Storage Structure II

- Program counter (PC) holds address of the instruction to be fetched next,
- The processor fetches the instruction from memory,



**Figure:** Fetch and Execute Cycle



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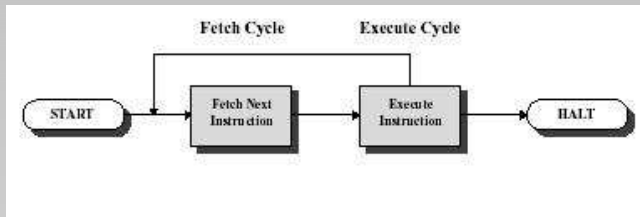
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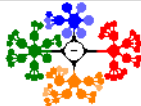


## Storage Structure II

- Program counter (PC) holds address of the instruction to be fetched next,
- The processor fetches the instruction from memory,
- **Program counter is incremented after each fetch,**



**Figure:** Fetch and Execute Cycle



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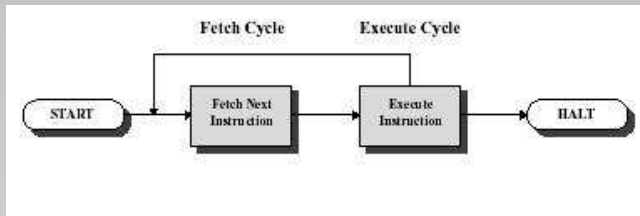
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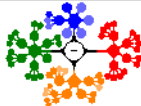
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## Storage Structure II

- Program counter (PC) holds address of the instruction to be fetched next,
- The processor fetches the instruction from memory,
- Program counter is incremented after each fetch,
- **Overlapped on modern architectures (pipelining).**



**Figure:** Fetch and Execute Cycle



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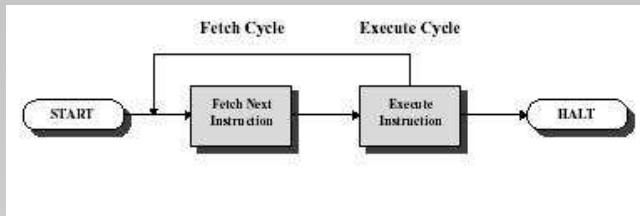
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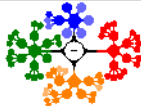
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## Storage Structure II

- Program counter (PC) holds address of the instruction to be fetched next,
- The processor fetches the instruction from memory,
- Program counter is incremented after each fetch,
- Overlapped on modern architectures (pipelining).
- *Fetch-execute cycle (see Fig. 7)*



**Figure:** Fetch and Execute Cycle



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# Storage Structure III

- Ideally, we want the programs and data to reside in main memory permanently. This arrangement usually is not possible for the following two reasons:



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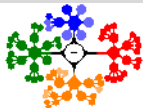
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- Ideally, we want the programs and data to reside in main memory permanently. This arrangement usually is not possible for the following two reasons:
  - ① Main memory is usually too small to store all needed programs and. data permanently.



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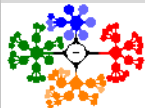
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- Ideally, we want the programs and data to reside in main memory permanently. This arrangement usually is not possible for the following two reasons:
  - 1 Main memory is usually too small to store all needed programs and. data permanently.
  - 2 Main memory is a volatile storage device that loses its contents when power is turned off or otherwise lost.

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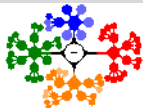
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- Ideally, we want the programs and data to reside in main memory permanently. This arrangement usually is not possible for the following two reasons:
  - 1 Main memory is usually too small to store all needed programs and. data permanently.
  - 2 Main memory is a volatile storage device that loses its contents when power is turned off or otherwise lost.
- Thus, most computer systems provide secondary storage as an *extension* of main memory. The most common secondary-storage device is a magnetic disk.



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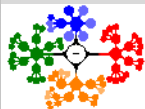
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- Thus, most computer systems provide secondary storage as an *extension* of main memory. The most common secondary-storage device is a magnetic disk.
- Many programs then use the disk as both a source and a destination of the information for their processing. Hence, the proper management of disk storage is of *central importance* to a computer system.

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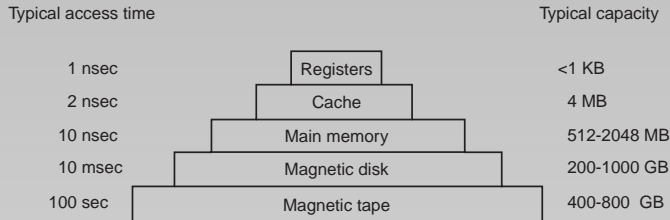
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# Storage Structure IV



The main differences among the various storage systems lie in speed, cost, size, and volatility. The wide variety of storage systems in a computer system can be organized in a hierarchy (See Fig. 8) according to speed and cost.



**Figure:** A typical memory hierarchy. The numbers are very rough approximations.

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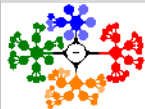
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# Storage Structure V

- Memory system must use only as much expensive memory as necessary while providing as much inexpensive, nonvolatile memory as possible.



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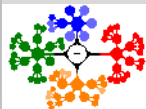
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# Storage Structure V

- Memory system must use only as much expensive memory as necessary while providing as much inexpensive, nonvolatile memory as possible.
- Caches can be installed to improve performance where a large access-time or transfer-rate disparity exists between two components. Cache memory (see Fig. 10left);



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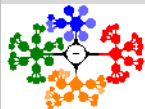
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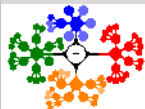
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- Caches can be installed to improve performance where a large access-time or transfer-rate disparity exists between two components. Cache memory (see Fig. 10left);
- Contain a small amount of very fast storage which holds a subset of the data held in the main memory.



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- Contain a small amount of very fast storage which holds a subset of the data held in the main memory.
- **Processor first checks cache. If not found in cache, the block of memory containing the needed information is moved to the cache replacing some other data.**



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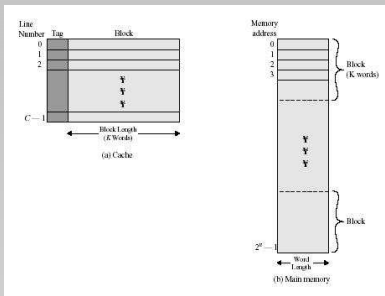
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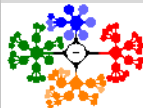
# Storage Structure V

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**Figure:** Cache and Main Memory



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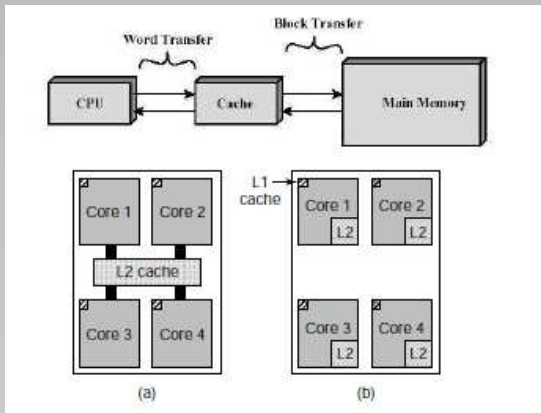
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# Storage Structure VI



**Figure:** Upper: Cache Memory. Lower: (a) A quad-core chip with a shared L2 cache. (b) A quad-core chip with separate L2 caches.

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## Storage Structure VII

Future storage technology includes 3-dimensional crystal structures which allow optical access to a dense 3-dimensional storage facility (see Fig. 11).

[http://www.voyle.net/Guest Writers/Michael E. Thomas/Atomic\\_press.htm](http://www.voyle.net/Guest Writers/Michael E. Thomas/Atomic_press.htm)

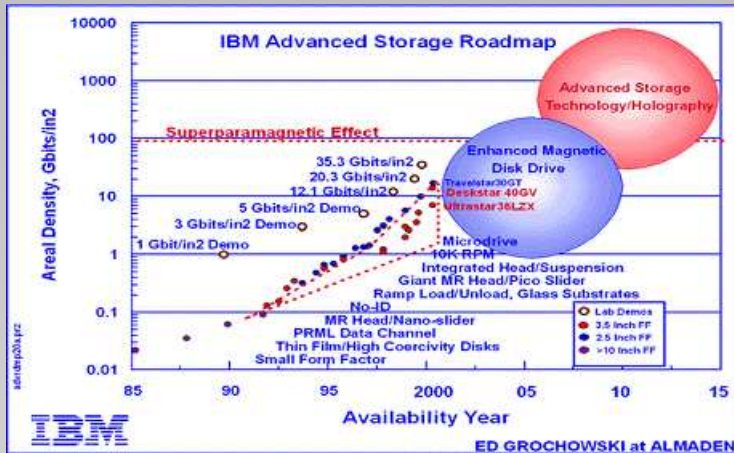
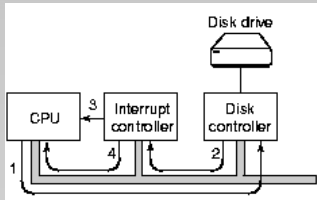
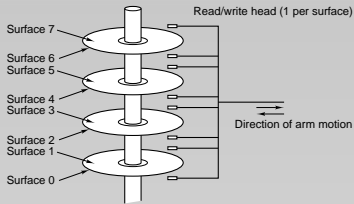


Figure: IBM Advanced Storage Roadmap.



## I/O Structure I

- Storage is only one of many types of I/O devices within a computer.



**Figure:** Left: Structure of a disk drive. Right: The steps in starting an I/O device.



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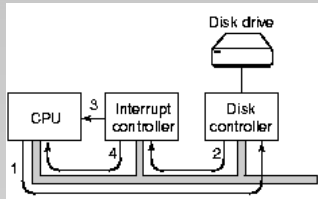
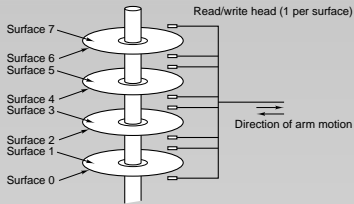
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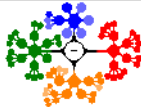
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## I/O Structure I

- Storage is only one of many types of I/O devices within a computer.
- A large portion of OS code is dedicated to managing I/O, both because of its importance to the reliability and performance of a system and because of the varying nature of the devices.



**Figure:** Left: Structure of a disk drive. Right: The steps in starting an I/O device.



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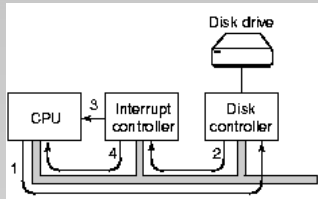
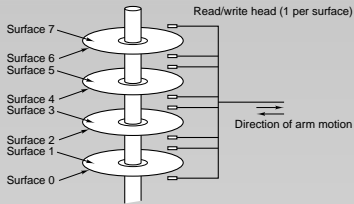
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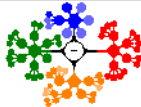
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- **The magnetic discs in the drive are rotating and magnetic heads move in and out in order to access any part of the surface area on the disc that holds data.**



**Figure:** Left: Structure of a disk drive. Right: The steps in starting an I/O device.



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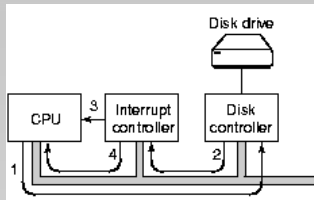
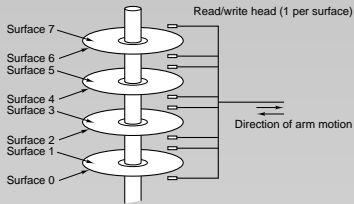
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- The magnetic discs in the drive are rotating and magnetic heads move in and out in order to access any part of the surface area on the disc that holds data.
- **This means access usually involves a disc rotation delay and also a head positioning delay (see Fig. 12left).**



**Figure:** Left: Structure of a disk drive. Right: The steps in starting an I/O device.



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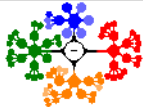
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## I/O Structure II

- A general-purpose computer system consists of CPUs and multiple device controllers that are connected through a common bus (see Fig. 13).



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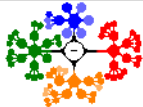
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## I/O Structure II

- A general-purpose computer system consists of CPUs and multiple device controllers that are connected through a common bus (see Fig. 13).
- Each device controller is responsible for moving the data between the peripheral devices that it controls and its local buffer storage (see Fig. 12right).



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- A general-purpose computer system consists of CPUs and multiple device controllers that are connected through a common bus (see Fig. 13).
- Each device controller is responsible for moving the data between the peripheral devices that it controls and its local buffer storage (see Fig. 12right).
- Typically, OSs have a device driver for each device controller. Software that communicates with controller is called device driver. To start an I/O operation;



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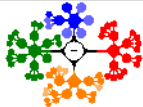
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  - The device driver loads the appropriate registers within the device controller.



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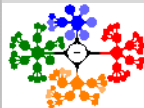
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  - The device driver loads the appropriate registers within the device controller.
  - The device controller, in turn, examines the contents of these registers to determine what action to take (such as “read a character from the keyboard”).



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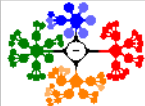
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  - The device driver loads the appropriate registers within the device controller.
  - The device controller, in turn, examines the contents of these registers to determine what action to take (such as “read a character from the keyboard”).
  - **The controller starts the transfer of data from the device to its local buffer.**



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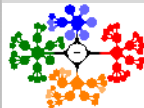
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  - The controller starts the transfer of data from the device to its local buffer.
  - **Once the transfer of data is complete, the device controller informs the device driver via an interrupt that it has finished its operation.**



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  - The device controller, in turn, examines the contents of these registers to determine what action to take (such as “read a character from the keyboard”).
  - The controller starts the transfer of data from the device to its local buffer.
  - Once the transfer of data is complete, the device controller informs the device driver via an interrupt that it has finished its operation.
  - The device driver then returns control to the OS, possibly returning the data or a pointer to the data if the operation was a read.



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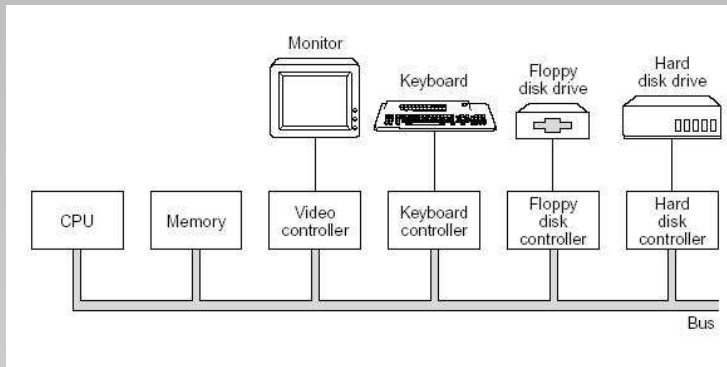
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A system bus would involve a pathway along which data could travel (usually 32-bits side-by-side i.e. in bit-wise parallel).



**Figure:** Top-level Components.

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- Interrupts normal sequence of execution. I/O requests can be handled *synchronously* or *asynchronously*.



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- Interrupts normal sequence of execution. I/O requests can be handled *synchronously* or *asynchronously*.
  - *In a synchronous system, a program makes the appropriate OS call, as the CPU is now executing OS code, the original program's execution is halted i.e. it waits.*



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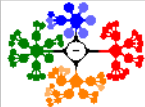
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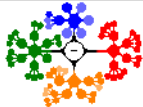
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- This form of interrupt-driven I/O is fine for moving small amounts of data but can produce high overhead when used for bulk data movement such as disk I/O.



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- This form of interrupt-driven I/O is fine for moving small amounts of data but can produce high overhead when used for bulk data movement such as disk I/O.
- To solve this problem, **direct memory access (DMA)** is used. After setting up buffers, pointers, and counters for the I/O device, the device controller transfers an entire block of data directly to or from its own buffer storage to memory, with no intervention by the CPU.



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