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Lecture 9 Main Memory II Lecture Information

Ceng328 Operating Systems at April 20, 2010

Dr. Cem Özdoğan Computer Engineering Department Çankaya University

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• The process of associating program instructions and data to physical memory addresses is called *address binding*, or *relocation*.

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- The process of associating program instructions and data to physical memory addresses is called *address binding*, or *relocation*.
- Addresses may be represented in different ways during these steps.

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- The process of associating program instructions and data to physical memory addresses is called *address binding*, or *relocation*.
- Addresses may be represented in different ways during these steps.
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 - The linkage editor or loader will in turn bind the **relocatable** addresses to absolute addresses (such as 74014).

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 - The linkage editor or loader will in turn bind the **relocatable** addresses to absolute addresses (such as 74014).
 - Each binding is a mapping from one address space to another.

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Figure: Multistep processing of a user program.

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 An address generated by the CPU is commonly referred to as a logical address,



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- An address generated by the CPU is commonly referred to as a logical address,
- Whereas an address seen by the memory unit -that is, the one loaded into the memory-address register of the memory- is commonly referred to as a physical address.



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- An address generated by the CPU is commonly referred to as a logical address,
- Whereas an address seen by the memory unit -that is, the one loaded into the memory-address register of the memory- is commonly referred to as a physical address.
- The compile-time and <u>load-time</u> address-binding methods generate identical logical and physical addresses.



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- However the execution-time address-binding scheme results in differing logical and physical addresses.
- In this case, we usually refer to the logical address as a virtual address.
- The run-time mapping from virtual to physical addresses is done by a hardware device called the **memory-management unit** (MMU).



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Figure: Dynamic relocation using a relocation register.

 A simple MMU scheme, which is a generalization of the base-register scheme (see Fig. 2)).

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Figure: Dynamic relocation using a relocation register.

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Figure: Dynamic relocation using a relocation register.

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 - The base register is now called a relocation register.
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Figure: Dynamic relocation using a relocation register.

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 - The base register is now called a relocation register.
 - The value in the relocation register is added to every address generated by a user process at the time it is sent to memory
- The concept of a logical address space that is bound to a separate physical address space is central to proper memory management.

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 A process can be swapped temporarily out of memory to a backing store (disk) and then brought back into memory for continued execution.



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- A process can be swapped temporarily out of memory to a backing store (disk) and then brought back into memory for continued execution.
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Figure: Swapping of two processes using a disk as a backing store.

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Swapping I

- A process can be **swapped** temporarily out of memory to a backing store (disk) and then brought back into memory for continued execution.
- A round-robin CPU-scheduling algorithm; when a quantum expires (see Fig. 3),



Figure: Swapping of two processes using a disk as a backing store.

• The quantum must be large enough to allow reasonable amounts of computing to be done between swaps.

 Normally, a process that is swapped out will be swapped back into the same memory space it occupied previously.

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• For efficient CPU utilization, we want the execution time for each process to be long relative to the swap time.

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- For efficient CPU utilization, we want the execution time for each process to be long relative to the swap time.
- Thus, the time quantum should be substantially larger than 0.516 seconds.

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- For efficient CPU utilization, we want the execution time for each process to be long relative to the swap time.
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- Notice that the major part of the swap time is transfer time.



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- For efficient CPU utilization, we want the execution time for each process to be long relative to the swap time.
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- Generally, swap space is allocated as a chunk of disk, separate from the file system, so that its use is as fast as possible.

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- Currently, standard swapping is used in few systems. A modification of swapping is used in many versions of UNIX.
 - Swapping is normally disabled but will start if many processes are running and are using a threshold amount of memory.
 - Swapping is again halted when the load on the system is reduced.

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 We need to consider <u>how to allocate</u> available memory to the processes that are in the input queue waiting to be brought into memory.



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- We need to consider <u>how to allocate</u> available memory to the processes that are in the input queue waiting to be brought into memory.
- In the contiguous memory allocation, each process is contained in a single contiguous section of memory.



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- We need to consider <u>how to allocate</u> available memory to the processes that are in the input queue waiting to be brought into memory.
- In the contiguous memory allocation, each process is contained in a single contiguous section of memory.
- With **relocation** and **limit** registers, each logical address must be less than the limit register;

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- We need to consider how to allocate available memory to the processes that are in the input queue waiting to be brought into memory.
- In the contiguous memory allocation, each process is contained in a single contiguous section of memory.
- With relocation and limit registers, each logical address must be less than the limit register;
- The MMU maps the logical address dynamically by adding the value in the relocation register. This mapped address is sent to memory (see Fig. 4).



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Figure: Hardware support for relocation and limit registers.

• When the CPU scheduler selects a process for execution, the dispatcher loads the relocation and limit registers with the correct values as part of the context switch.

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- When the CPU scheduler selects a process for execution, the dispatcher loads the relocation and limit registers with the correct values as part of the context switch.
- The relocation-register scheme provides an effective way to allow the OS size to change dynamically.



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- When the CPU scheduler selects a process for execution, the dispatcher loads the relocation and limit registers with the correct values as part of the context switch.
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- For example, the OS contains code and buffer space for device drivers.



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 - If a device driver (or other OS service) is not commonly used, we do not want to keep the code and data in memory.

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- For example, the OS contains code and buffer space for device drivers.
 - If a device driver (or other OS service) is not commonly used, we do not want to keep the code and data in memory.
 - Such code is sometimes called **transient** OS code; it comes and goes as needed.



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- For example, the OS contains code and buffer space for device drivers.
 - If a device driver (or other OS service) is not commonly used, we do not want to keep the code and data in memory.
 - Such code is sometimes called transient OS code; it comes and goes as needed.
 - Thus, using this code changes the size of the OS during program execution.

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• One of the simplest methods for allocating memory is to divide memory into several fixed-sized partitions.

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 - When a partition is free, a process is selected from the input queue and is loaded into the free partition.
 - When the process terminates, the partition becomes available for another process.
- This method is no longer in use.

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• The next method is a generalization of the fixed-partition scheme (called MVT, Multiprogramming with Variable Partitions).

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 - When a process arrives and needs memory, we search for a hole large enough for this process.
 - If we find one, we allocate only as much memory as is needed, keeping the rest available to satisfy future requests.
- At any given time, we have a list of available block sizes and the input queue.
- The OS can order the input queue according to a scheduling algorithm.

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• This procedure is a particular instance of the general **dynamic storage-allocation** problem, which concerns how to satisfy a request of size *n* from a list of free holes. There are many solutions to this problem.

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- Simulations have shown that both first fit and best fit are better than worst fit in terms of decreasing time and storage utilization.
- Neither first fit nor best fit is clearly better than the other in terms of storage utilization, but first fit is generally faster.

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• Both the first-fit and best-fit strategies for memory allocation suffer from **external fragmentation**.





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- External fragmentation exists when there is enough total memory space to satisfy a request, but the available spaces are not contiguous.
- Storage is fragmented into a large number of small holes.
- Memory fragmentation can be **internal** as well as external.
 - Consider a multiple-partition allocation scheme with a hole of 18,464 bytes.

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 - The difference between these two numbers is internal fragmentation; memory that is internal to a partition but is not being used.

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 - Suppose that the next process requests 18,462 bytes.
 - If we allocate exactly the requested block, we are left with a hole of 2 bytes.
 - The difference between these two numbers is internal fragmentation; memory that is internal to a partition but is not being used.
- The general approach to avoiding this problem is to break the physical memory into <u>fixed-sized blocks</u> and allocate memory in units <u>based on block size</u>.

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• One solution to the problem of external fragmentation is **compaction**.



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- One solution to the problem of external fragmentation is compaction.
- The goal is to shuffle the memory contents so as to place all free memory together in one large block.



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- One solution to the problem of external fragmentation is **compaction**.
- The goal is to shuffle the memory contents so as to place all free memory together in one large block.
- Another possible solution to the external-fragmentation problem is to permit the logical address space of the processes to be **non-contiguous**, thus allowing a process to be allocated physical memory wherever the latter is available.

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- Two complementary techniques achieve this solution:

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- Two complementary techniques achieve this solution:
 - paging
 - segmentation
- These techniques can also be combined.

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• Paging is a memory-management scheme that permits the physical address space of a process to be non-contiguous.

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- Paging is a memory-management scheme that permits the physical address space of a process to be non-contiguous.
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- The backing store also has the fragmentation problems discussed in connection with main memory, except that access is much slower, so compaction is impossible!



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- Because of its advantages over earlier methods, paging in its various forms is commonly used in most OSs.

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- Traditionally, support for paging has been handled by hardware.

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- Because of its advantages over earlier methods, paging in its various forms is commonly used in most OSs.
- Traditionally, support for paging has been handled by hardware.
- However, recent designs have implemented paging by closely integrating the hardware and OS, especially on 64-bit microprocessors.

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• The basic method for implementing paging involves

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- The basic method for implementing paging involves
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- The basic method for implementing paging involves
 - breaking <u>physical memory</u> into fixed-sized blocks called <u>frames</u>
 - breaking logical memory into blocks of the same size called pages.

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- The basic method for implementing paging involves
 - breaking <u>physical memory</u> into fixed-sized blocks called <u>frames</u>
 - breaking logical memory into blocks of the same size called pages.
- The backing store is divided into fixed-sized blocks that are of the same size as the memory frames.



Figure: Paging hardware.

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Figure: Paging hardware.

• The hardware support for paging is illustrated in Fig. 5.

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• Every address generated by the CPU is divided into two parts: a **page number** (*p*) and a **page offset** (*d*).

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- The page number is used as an index into a page table.





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- Every address generated by the CPU is divided into two parts: a **page number** (*p*) and a **page offset** (*d*).
- The page number is used as an index into a page table.
- The page table contains the base address of each page in physical memory.



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- The page number is used as an index into a page table.
- The page table contains the base address of each page in physical memory.
- This base address is combined with the page offset to define the physical memory address.

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- Every address generated by the CPU is divided into two parts: a **page number** (*p*) and a **page offset** (*d*).
- The page number is used as an index into a page table.
- The page table contains the base address of each page in physical memory.
- This base address is combined with the page offset to define the physical memory address.
- The paging model of memory is shown in Fig. 6.







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Figure: Paging model of logical and physical memory.

• The size of a page is typically a power of 2, varying between 512 bytes and 16 MB per page, depending on the computer architecture.



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- The size of a page is typically a power of 2, varying between 512 bytes and 16 MB per page, depending on the computer architecture.
- Consider the memory in Fig. 7. It is shown that how the user's view of memory can be mapped into physical memory.



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- The size of a page is typically a power of 2, varying between 512 bytes and 16 MB per page, depending on the computer architecture.
- Consider the memory in Fig. 7. It is shown that how the user's view of memory can be mapped into physical memory.
 - Using a page size of 4 bytes and a physical memory of 32 bytes (8 pages).



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 - Using a page size of 4 bytes and a physical memory of 32 bytes (8 pages).
 - Logical address 0 is page O, offset O. Indexing into the page table, we find that page 0 is in frame 5. Thus, logical address 0 maps to physical address 20 (= (5 x 4) + 0).

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 - Logical address 3 (page 0, offset 3) maps to physical address 23 (= (5 x 4) + 3).

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 - Logical address 3 (page 0, offset 3) maps to physical address 23 (= (5 x 4) + 3).
 - Logical address 4 is page 1, offset 0; according to the page table, page 1 is mapped to frame 6. Thus, logical address 4 maps to physical address 24 (= (6 x 4) + 0).

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 - Logical address 4 is page 1, offset 0; according to the page table, page 1 is mapped to frame 6. Thus, logical address 4 maps to physical address 24 (= (6 x 4) + 0).
 - Logical address 13 maps to physical address 9.

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Figure: Paging example for a 32-byte memory with 4-byte pages.

 Using paging is similar to using a table of base (or relocation) registers, one for each frame of memory.



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- Using paging is similar to using a table of base (or relocation) registers, one for each frame of memory.
- When we use a paging scheme, we have no external fragmentation:



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- Using paging is similar to using a table of base (or relocation) registers, one for each frame of memory.
- When we use a paging scheme, we have no external fragmentation:
 - Any free frame can be allocated to a process that needs it.



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- Using paging is similar to using a table of base (or relocation) registers, one for each frame of memory.
- When we use a paging scheme, we have no external fragmentation:
 - Any free frame can be allocated to a process that needs it.
- However, we may have some internal fragmentation.



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Segmentation

- Using paging is similar to using a table of base (or relocation) registers, one for each frame of memory.
- When we use a paging scheme, we have no external fragmentation:
 - Any free frame can be allocated to a process that needs it.
- However, we may have some internal fragmentation.
- If the memory requirements of a process do not happen to coincide with page boundaries, the last frame allocated may not be completely full.

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- For example, if page size is 2,048 bytes, a process of 72,766 bytes would need 35 pages plus 1,086 bytes.



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- It would be allocated 36 frames, resulting in an internal fragmentation of 2,048 1,086 = 962 bytes.

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- For example, if page size is 2,048 bytes, a process of 72,766 bytes would need 35 pages plus 1,086 bytes.
- It would be allocated 36 frames, resulting in an internal fragmentation of 2,048 1,086 = 962 bytes.
- In the worst case, a process would need n pages plus 1 byte. It would be allocated n + 1 frames, resulting in an internal fragmentation of almost an entire frame.

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• What about page size?

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- What about page size?
- Generally, page sizes have grown over time as processes, data sets, and main memory have become larger.



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- What about page size?
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- Today, pages typically are between 4 KB and 8 KB in size, and some systems support even larger page sizes.



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- What about page size?
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- Today, pages typically are between 4 KB and 8 KB in size, and some systems support even larger page sizes.
- Usually, each page-table entry is 4 bytes long, but that size can vary as well. A 32-bit entry can point to one of 2³² physical page frames.



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- Today, pages typically are between 4 KB and 8 KB in size, and some systems support even larger page sizes.
- Usually, each page-table entry is 4 bytes long, but that size can vary as well. A 32-bit entry can point to one of 2³² physical page frames.
- If frame size is 4 KB, then a system with 4-byte entries can address 2⁴⁴(4KB * 2³²) bytes (or 16 TB) of physical memory.

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Figure: Free frames (a) before allocation and (b) after allocation.

 An important aspect of paging is the clear separation between the user's view of memory and the actual physical memory.



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- An important aspect of paging is the clear separation between the user's view of memory and the actual physical memory.
- The logical addresses are translated into physical addresses by the address-translation hardware.



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- This information is generally kept in a data structure called a **frame table**.

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 - · which frames are allocated,
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- The frame table has one entry for each physical page frame, indicating whether the latter is free or allocated and,

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- This information is generally kept in a data structure called a **frame table**.
- The frame table has one entry for each physical page frame, indicating whether the latter is free or allocated and,
- if it is allocated, to which page of which process or processes.



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 Memory protection in a paged environment is accomplished by protection bits associated with each frame.



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- Memory protection in a paged environment is accomplished by protection bits associated with each frame.
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- Memory protection in a paged environment is accomplished by protection bits associated with each frame.
- These bits are kept in the page table. One bit can define a page to be read-write or read-only.
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- One additional bit is generally attached to each entry in the page table: a **valid-invalid** bit.

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 - When this bit is set to "valid", the associated page is in the process's logical address space and is thus a legal (or valid) page.

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- Illegal addresses are trapped by use of the valid-invalid bit.

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• Suppose, for example, that in a system with a 14-bit address space (0 to 16383), we have a program that should use only addresses 0 to 10468.

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- Suppose, for example, that in a system with a 14-bit address space (0 to 16383), we have a program that should use only addresses 0 to 10468.
 - Given a page size of 2 KB (with 6 pages 2048 * 6 = 12288).



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 - Given a page size of 2 KB (with 6 pages 2048 * 6 = 12288).
 - item Addresses in pages 0, 1, 2, 3, 4, and 5 are mapped normally through the page table.



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- Because the program extends to only address 10468, any reference beyond that address is illegal.

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- Because the program extends to only address 10468, any reference beyond that address is illegal.
- However, references to page 5 are classified as valid, so accesses to addresses up to 12287 are valid.

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- Because the program extends to only address 10468, any reference beyond that address is illegal.
- However, references to page 5 are classified as valid, so accesses to addresses up to 12287 are valid.
- Only the addresses from 12288 to 16383 are invalid.



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- Because the program extends to only address 10468, any reference beyond that address is illegal.
- However, references to page 5 are classified as valid, so accesses to addresses up to 12287 are valid.
- Only the addresses from 12288 to 16383 are invalid.
- This problem is a result of the 2-KB page size and reflects the internal fragmentation of paging.

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Figure: Valid (v) or invalid (i) bit in a page table.

Shared Pages I

 An advantage of paging is the possibility of sharing common code.



Figure: Sharing of code in a paging environment.

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Figure: Sharing of code in a paging environment.

• Consider a system that supports 40 users, each of whom executes a <u>text editor</u> (see Fig. 10).

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If the text editor consists of 150 KB of code and 50 KB of data space, we need 8,000 KB to support the 40 users (40 * (150KB + 50KB)).

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- If the text editor consists of 150 KB of code and 50 KB of data space, we need 8,000 KB to support the 40 users (40 * (150KB + 50KB)).
- If the code is reentrant code (or pure code), it can be shared (to be shareable, the code must be reentrant).



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- Reentrant code is non-self-modifying code; it never changes during execution.

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- Thus, two or more processes can execute the same code at the same time.
- Each process has its own copy of registers and data storage to hold the data for the process's execution.

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- Thus, two or more processes can execute the same code at the same time.
- Each process has its own copy of registers and data storage to hold the data for the process's execution.
- Only one copy of the editor need be kept in physical memory.

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- Reentrant code is non-self-modifying code; it never changes during execution.
- Thus, two or more processes can execute the same code at the same time.
- Each process has its own copy of registers and data storage to hold the data for the process's execution.
- Only one copy of the editor need be kept in physical memory.
- Each user's page table maps onto the same physical copy of the editor, but data pages are mapped onto different frames.

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- If the text editor consists of 150 KB of code and 50 KB of data space, we need 8,000 KB to support the 40 users (40 * (150KB + 50KB)).
- If the code is reentrant code (or pure code), it can be shared (to be shareable, the code must be reentrant).
- Reentrant code is non-self-modifying code; it never changes during execution.
- Thus, two or more processes can execute the same code at the same time.
- Each process has its own copy of registers and data storage to hold the data for the process's execution.
- Only one copy of the editor need be kept in physical memory.
- Each user's page table maps onto the same physical copy of the editor, but data pages are mapped onto different frames.
- Thus, to support 40 users, we need only one copy of the editor (150 KB), plus 40 copies of the 50 KB of data space per user.

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- Each user's page table maps onto the same physical copy of the editor, but data pages are mapped onto different frames.
- Thus, to support 40 users, we need only one copy of the editor (150 KB), plus 40 copies of the 50 KB of data space per user.
- The total space required is now 2,150 KB instead of 8,000 KB-a significant savings.

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• Users prefer to view memory as a collection of variable-sized segments, with no necessary ordering among segments (Figure 8.18).



Figure: User's view of a program.

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 Users prefer to view memory as a collection of variable-sized segments, with no necessary ordering among segments (Figure 8.18).



Figure: User's view of a program.

 Segmentation is a memory-management scheme that supports this user view of memory.

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 Users prefer to view memory as a collection of variable-sized segments, with no necessary ordering among segments (Figure 8.18).



Figure: User's view of a program.

- Segmentation is a memory-management scheme that supports this user view of memory.
- A logical address space is a collection of segments.

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• Each segment has a name and a length. The addresses specify both the segment name and the offset within the segment.

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Although the user can now refer to objects in the program by a two-dimensional address, the actual physical memory is still, of course, a one-dimensional sequence of bytes.

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- Although the user can now refer to objects in the program by a two-dimensional address, the actual physical memory is still, of course, a one-dimensional sequence of bytes.
- Thus, we must define an implementation to map two-dimensional user-defined addresses into one-dimensional physical addresses.



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- Although the user can now refer to objects in the program by a two-dimensional address, the actual physical memory is still, of course, a one-dimensional sequence of bytes.
- Thus, we must define an implementation to map two-dimensional user-defined addresses into one-dimensional physical addresses.
- This mapping is effected by a **segment table**. Each entry in the segment table has a <u>segment base</u> and a segment limit.

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- Although the user can now refer to objects in the program by a two-dimensional address, the actual physical memory is still, of course, a one-dimensional sequence of bytes.
- Thus, we must define an implementation to map two-dimensional user-defined addresses into one-dimensional physical addresses.
- This mapping is effected by a **segment table**. Each entry in the segment table has a <u>segment base</u> and a segment limit.
- The segment base contains the starting physical address where the segment resides in memory, whereas the segment limit specifies the length of the segment (see Fig. 12).

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Figure: Segmentation hardware.

• The segment number is used as an index to the segment table.

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- The segment number is used as an index to the segment table.
- The offset *d* of the logical address must be between 0 and the segment limit.



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- The segment number is used as an index to the segment table.
- The offset *d* of the logical address must be between 0 and the segment limit.
- If it is not, we trap to the OS (logical addressing attempt beyond end of segment).



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- The segment number is used as an index to the segment table.
- The offset *d* of the logical address must be between 0 and the segment limit.
- If it is not, we trap to the OS (logical addressing attempt beyond end of segment).
- When an offset is legal, it is added to the segment base to produce the address in physical memory of the desired byte.



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- When an offset is legal, it is added to the segment base to produce the address in physical memory of the desired byte.
- The segment table is thus essentially an array of base-limit register pairs.

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- The segment number is used as an index to the segment table.
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- When an offset is legal, it is added to the segment base to produce the address in physical memory of the desired byte.
- The segment table is thus essentially an array of base-limit register pairs.
- As an example, consider the situation shown in Fig. 13.

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Figure: Example of segmentation.

• We have five segments numbered from 0 through 4.

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- We have five segments numbered from 0 through 4.
- The segment table has a separate entry for each segment, giving the beginning address of the segment in physical memory (or base) and the length of that segment (or limit).



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- We have five segments numbered from 0 through 4.
- The segment table has a separate entry for each segment, giving the beginning address of the segment in physical memory (or base) and the length of that segment (or limit).
- For example, segment 2 is 400 bytes long and begins at location 4300.



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- We have five segments numbered from 0 through 4.
- The segment table has a separate entry for each segment, giving the beginning address of the segment in physical memory (or base) and the length of that segment (or limit).
- For example, segment 2 is 400 bytes long and begins at location 4300.
- Thus, a reference to byte 53 of segment 2 is mapped onto location 4300 + 53 = 4353.

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- We have five segments numbered from 0 through 4.
- The segment table has a separate entry for each segment, giving the beginning address of the segment in physical memory (or base) and the length of that segment (or limit).
- For example, segment 2 is 400 bytes long and begins at location 4300.
- Thus, a reference to byte 53 of segment 2 is mapped onto location 4300 + 53 = 4353.
- A reference to segment 3, byte 852, is mapped to 3200 (the base of segment 3) + 852 = 4052.



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- We have five segments numbered from 0 through 4.
- The segment table has a separate entry for each segment, giving the beginning address of the segment in physical memory (or base) and the length of that segment (or limit).
- For example, segment 2 is 400 bytes long and begins at location 4300.
- Thus, a reference to byte 53 of segment 2 is mapped onto location 4300 + 53 = 4353.
- A reference to segment 3, byte 852, is mapped to 3200 (the base of segment 3) + 852 = 4052.
- A reference to byte 1222 of segment would result in a trap to the OS, as this segment is only 1,000 bytes long.

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